



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,658	12/31/2003	Ki Hyup Kim	11037-175-999	1614

24341 7590 10/05/2006

MORGAN, LEWIS & BOCKIUS, LLP.
2 PALO ALTO SQUARE
3000 EL CAMINO REAL
PALO ALTO, CA 94306

EXAMINER

CECIL, TERRY K

ART UNIT PAPER NUMBER

1723

DATE MAILED: 10/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/750,658

Applicant(s)

KIM, KI HYUP

Examiner

Mr. Terry K. Cecil

Art Unit

1723

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 November 2005.
2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) 1-9 is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
10) ☒ The drawing(s) filed on 31 December 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date two.
4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

DETAILED ACTION***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

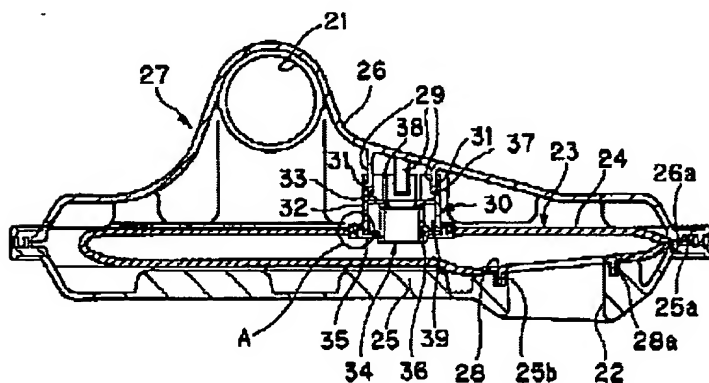
A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-3 and 6-7 rejected under 35 U.S.C. 102(a) as being anticipated by the Japanese Reference JP2002-186807, hereinafter '807. '807 teaches an oil filter comprising a nonwoven



[0028] fabric filter 23 in the hollow space defined between upper and lower covers, each having a respective opening 21,22 for the flow of oil therethrough. A valve body (30,32,35) is integral with the

top cover [as in claims 1, 3 and 6] and includes a “gasket” (protrusion 31 fitting in recess 37) integral therewith [as in claims 2 and 6]. One of the covers can include a multitude of holes (see e.g. the top cover of figure 4 and the bottom cover of figure 6) [as in claims 2 and 7]. During the filtering process, oil entering opening 22 and flowing to the space enclosed by the filter 23 (specifically, oil flowing into the left side portion of the enclosed space in the figure above)

Art Unit: 1723

necessarily flows "from the valve body and into the hollow portion" existing downstream of the filter 23 after being filtered.

Claim Rejections - 35 USC § 103

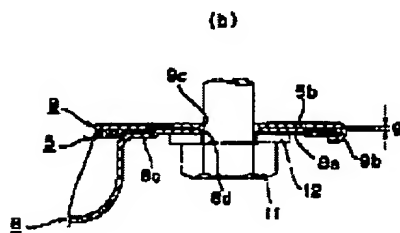
3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

4. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over '807 in view of JP 2001-269514, hereinafter '514. '514 teaches an oil filter having first and second covers bolt-engaged to a valve body [0004, 0006, 0015]. It is considered that it would have been obvious

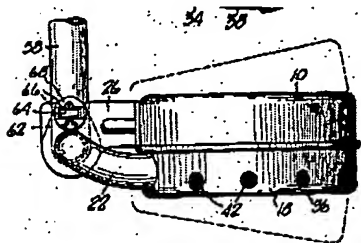


to one ordinarily skilled in the art at the time of the invention to have the bolt engagement of '514 in the invention of '807, since '514 teaches the benefit of preventing leaks in non-woven oil filters.

5. Claims 5 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over '807 in view of '514 (claim 5) or in view of '807 (claim 8) and in further view of Dewey (U.S.

Art Unit: 1723

2,448,212). The claims have the limitation of at least three first oil holes with equal planar spacing. As shown in his figures, Dewey teaches at least three oil holes (42) that are equally spaced (a horizontal plane passing through the center of all the holes would have the holes equally-planarly spaced) [as in claims 5 and 8].



It is considered that it would have been obvious to one ordinarily skilled in the art at the time of the invention to have the at least three holes of Dewey in the invention of '807 (e.g. figure 4 thereof), since such would provide the benefit of still allowing a

flow of oil if one or more of the inlet openings become clogged.

6. Claims 1-3, 6-7 and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over each of the abstracts of DE10025690, JP6066357, and JP4015354 respectively in view of JP2002-186807. Each of '690, '357 and '354 teach an oil filter integral to a valve body and including a filter between first and second covers. It is not specified in the abstracts thereof that the filter is non-woven but, as explained in section 2 above, such is taught by '807. It is considered that it would have been obvious to one ordinarily skilled in the art at the time of the invention to have the filter in each of '690, '357 and '354 to be nonwoven, as in '807, since '807 teaches a filter material suitable for filtering in the environments thereof specifically having the benefit of filtering metal wear powder from the oil.

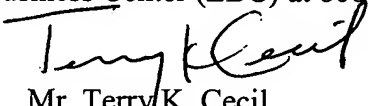
Art Unit: 1723

Response to Arguments

7. Applicant's arguments filed 7-17-2006 have been fully considered but they are not persuasive. As explained in the office action in '807, oil does flow *from the valve body* and into the hollow portion.

8. Contact Information:

- Examiner Mr. Terry K. Cecil can be reached at (571) 272-1138 at the Carlisle campus in Alexandria, Virginia for any inquiries concerning this communication or earlier communications from the examiner. Note that the examiner is on the increased flextime schedule but can normally be found in the office during the hours of 8:30a to 4:30p, on at least four days during the week M-F.
- Wanda Walker, the examiner's supervisor, can be reached at (571) 272-1151 if attempts to reach the examiner are unsuccessful.
- The Fax number for this art unit for official faxes is (571) 273-8300.
- Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Mr. Terry K. Cecil
Primary Examiner
Art Unit 1723

TKC
October 2, 2006